PTO/SB/64 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	PETITION FOR REVIVAL OF AN APPLICATION FO ABANDONED UNINTENTIONALLY UNDER 37 CF		Docket Number (Optional) 229752003700	
	First named inventor: Stuart M. PITSON			
	Application No: 10/509,036	Art Unit: Not Yet	Assigned	126
	Filed: September 27, 2004	Examiner: Not	Yet Assigned	T
	Title: METHOD OF MODULATING CELLULAR ACTIV	ITY	Manadona Carlona Carlo	RECEIVED.
	MS Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		Cition Cition	,,,,
!	NOTE: If information or assistance is needed in completing Information at (571) 272-3282.	ng this form, please co	ntact Petitions	
	The above-identified application became abandoned for failure action by the United States Patent and Trademark Office. The date of the period set for reply in the office notice or action plus	date of abandonment	s the day after the expiration	
	APPLICANT HEREBY PETITIONS FOR RE	VIVAL OF THIS APPL	ICATION	
	NOTE: A grantable petition requires the following items:  (1) Petition fee;  (2) Reply and/or issue fee;  (3) Terminal disclaimer with disclaimer fee filed before June 8, 1995; and for all des  (4) Statement that the entire delay was unir	ign applications; and	and plant applications	
	1. Petition fee			
	Small entity – fee \$ (37 CFR 1.17(r See 37 CFR 1.27.	n)). Applicant claims s	mall entity status.	
	X Other than small entity – fee \$1,500.00 (37	CFR 1.17(m))		
	2. Reply and/or fee			
	A. The reply and/or fee to the above-noted Office action in the form ofResponse to Notice to File Missing		entify type of reply):	
	has been filed previously on		onary type or reply).	
	x is enclosed herewith.			
	B. The issue fee and publication fee (if applicable) of \$			
	has been paid previously onis enclosed herewith.	•		
	Page 1 of 2			
07/13/2007 GFREY1	00000072 031952 10509036	•		
01 FC:1453 1	500.00 DA			
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PTO/SB/64 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	Terminal disclaimer with disclaimer fee							
	Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.							
	A terminal disclaimer (and disclaimer fee (37 CFR 1.20	· · · · · · · · · · · · · · · · · · ·						
4.	4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE. The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D))].							
the the the pul or app	WARNING:  Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.							
	anz	July 11, 2007						
-	Signature							
	Adam Keser	54.047						
-	Typed or printed name	Segistration Number if applicable						
	Typed of printed fiding	Typed or printed name Registration Number, if applicable						
	MORRISON & FOERSTER LLP 1650 Tysons Blvd, Suite 400 McLean, Virginia 22102 (703) 760-7301							
-	1650 Tysons Blvd, Suite 400 McLean, Virginia 22102	(703) 760-7301						
-	1650 Tysons Blvd, Suite 400	(703) 760-7301 Telephone Number						
Er	1650 Tysons Blvd, Suite 400 McLean, Virginia 22102  Address  nclosures: X Fee Payment  X Reply  Terminal Disclaimer Form	Telephone Number						
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Docket No.: 229752003700

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Stuart PITSON et al.

Application No.: 10/509,036

509,036 Confirmation No.: 1337

Filed: September 27, 2004 Art Unit: Not Yet Assigned

For: METHOD OF MODULATING CELLULAR

ACTIVITY

Examiner: Not Yet Assigned

### STATEMENT PURSUANT TO 37 CFR 1.821(f)

Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements mailed on March 8, 2005, the undersigned hereby states that the content of the attached paper copy of the sequence listing and the computer readable copy of the sequence listing submitted in accordance with 37 C.F.R. §§ 1.821-1.825, are identical. The submission includes no new matter.

Applicants request consideration and entry of the Sequence Listing paper copy and computer readable copy. Pursuant to 37 C.F.R. 1.77, please enter the paper copy of the Sequence Listing after the Abstract.

In the event the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and

Application No.: 10/509,036 Docket No.: 229752003700

authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. **229752003700**.

Dated: July 11, 2007

Respectfully submitted,

Adam Keser

Registration No.: 54,217 MORRISON & FOERSTER LLP 1650 Tysons Blvd, Suite 400 McLean, Virginia 22102 (703) 760-7301

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#### **RECORDATION FORM COVER SHEET** PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Stuart M. PITSON (11/30/2004), Pu XIA Medvet Science Pty Ltd. (11/30/2004), Paul A. MORETTI (11/30/2004), Julia R. ZEBOL (12/21/2004), Internal Address: Additional name(s) of conveying party(ies) attached? | x | Yes Street Address: 3. Nature of conveyance/Execution Date(s): 38 Payneham Road Stepney, South Australia 5069 Execution Date(s): in parentheses after inventor name Australia x Assignment Merger Change of Name Security Agreement Joint Research Agreement Government Interest Assignment State: Executive Order 9424, Confirmatory License Country: Additional name(s) & address(es) x No Yes attached? 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) 10/509,036 Additional numbers attached? Yes 5. Name and address to whom correspondence 6. Total number of applications and concerning document should be mailed: patents involved: Barry E. Bretschneider MORRISON & FOERSTER LLP 40.00 7. Total fee (37 CFR 1.21(h) & 3.41) \$ Internal Address: Atty. Dkt.: 229752003700 Authorized to be charged by credit card Street Address: 1650 Tysons Blvd, Suite 300 Х Authorized to be charged to deposit account None required (government interest not affecting title) McLean City: 8. Payment Information State: 22102 Zip: a. Credit Card Last 4 Numbers Phone Number: (703) 760-7743 **Expiration Date** Fax Number: \_ (703) 760-7777 03-1952 b. Deposit Account Number Email Address: BBretschneider@mofo.com Authorized User Name Barry E. Bretschneider 9. Signature: Signature Adam Keser - 54,217 Total number of pages including cover sheet, attachments, and documents: Name of Person Signing

Form PTO-1595	RECORD	ATION FORM COVER SHEET (continued)	
Additional Conveying	Party(ies)/Execu	ution Date(s) (1. Continued):	
Mathew A. VADAS (11/30	୦/2004), and Brian W	/. WATTENBERG (12/6/2004)	
Additional Assignees	(2. Continued):		
Assignee Name:			
Internal Address:			
Street Address:			
City:	State:	Country:	Zip:
Assignee Name:			
Internal Address:			
Street Address:			
City:	State:	Country:	Zip:
Assignee Name:			
Internal Address:			
Street Address:			
City:	State:	Country:	Zip:
Additional Application	ns and/or Patents	(4. Continued):	
Additional Patent Application No 4A. Continued:	umbers	Additional Patent Numbers 4B. Continued:	
	Additional numbers a	attached? Yes No	

Docket No.: 229752003700

PATENT Attorney Docket No. 22975-20037.00

### ASSIGNMENT

WHEREAS, I/WE

Stuart M. PITSON, an Australian citizen of 1B Threlfall Avenue, Norwood, South Australia 5067, Australia;

Pu XIA, an Australian citizen of 97 Shakespeare Avenue, Magill, South Australia 5072, Australia; Paul A. MORETTI, an Australian citizen of 3 Railway Terrace South, Goodwood, South Australia; 5034, Australia;

Julia R. VERWEY, an Australian citizen of 27 Highfield Drive, Hillbank, South Australia 5112, Australia;

Mathew A. VADAS, an Australian citizen of 8 Branch Road, Stirling, South Australia 5152, Australia; Brian W. WATTENBERG, an Australian citizen of Brown Cancer Centre, 529 S. Jackson Street, Louisville, Kentucky 40202, United States

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in

A method of modulating cellular activity

the specification of which:

AND WHEREAS,	under PCT Article 34 on (if any) and/or (if any) and/or (if any).  38 Payneham Road, Stephey, South Australia 5069
(*) [**]	was described and claimed in PCT International Application PCT/AU03/00388 filed on  28 March 2003 and as amended under PCT Article 19 on (if any) and (if any) any) and (if an
(c) [x]	(if annihing the later than the late
	[ ] Express Mail No., as Application No. not yet known and was and was a smith of the same and was a smith of the
(ъ) []	was filed on
(a) [ ]	was executed on even date herewith:

(hereinafter referred to as Assignee) desires to acquire the entire rights, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignce, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 30 th day of Noxember, 2004

Witness

Signature of Stuart M. PITSON

US PATENT Attorney Docket No. 22975-20037.00

day of Niverise, 2004 Signature of Witness This 30th day of NOVEMBER Signature of This day of Signature of Julia R. VERWEY Witness This 30th day of NOVEMBER, 2004

Signature of

Mathew A. VADAS

13 Dec 2004 1:35PM

MEDVET IP OFFICE

08 8222 3411

U\$ PATENT Attorney Doaket No. 22975-20037.00

This 30 day of November, 2004

min 30th day or NOVEMBER

Signature of Julia R. WARNEY
2EBOL 76 21-18:04

This 304 day of NOVEHRER, 2004

Methow A. VADAE

1 Dec 2004 7:55AM

08 8222 3411

Attorney Docket No. 22975-20037.00 Signature of Witness Signature of Witness

Signature of

MEDVET IP OFF SE

Witness

# IAP12 Rec'd PCT/PTO 11 JUL 2007

PTO/SB/21 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no pe	rsons are required to res	spond to a collection o	of information	unless it displays a valid OMB control numbe	
		Application Num	nber	10/509,036	
TRANSMITT	Filing Date		September 27, 2004		
FORM		First Named Inve	entor	Stuart M. PITSON	
	Art Unit		Not Yet Assigned		
(to be used for all correspondence aft	er initial filing)	Examiner Name	)	Not Yet Assigned	
Total Number of Pages in This Submit		Attorney Docket	Number	229752003700	
E	NCLOSURES	(Check all tha	it apply)		
X Fee Transmittal Form	Drawing(s)			After Allowance Communication to TC	
Fee Attached	Licensing-re	lated Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment/Reply	x Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final	Petition to C			Proprietary Information	
Affidavits/declaration(s)		orney, Revocation orrespondence Address		Status Letter	
Extension of Time Request	Terminal Dis	sclaimer		Status Letter  Status Letter  Other Enclosure(s) (please Identify below):  Return Receipt Post Card Copy of Notification of Missing Requirements dated 3/8/05 Executed Declaration	
Express Abandonment Request	Request for	Refund	F	Return Receipt Post Card Copy of Notification of Missing	
Information Disclosure Statement	CD, Number	r of CD(s)	¦	Requirements dated 3/8/05	
Certified Copy of Priority Document(s)	Landso	cape Table on CD		Other Enclosure(s) (please Identify below):  Return Receipt Post Card Copy of Notification of Missing Requirements dated 3/8/05 Executed Declaration	
Reply to Missing Parts/ Incomplete Application	Remarks			TO STATE OF THE ST	
Reply to Missing Parts under 37 CFR 1.52 or 1.53	ursuant to 37 C of Sequence Lise eadable Disk of Form cover she signment Decision	sting f Sequen eet	,		
		Š			
SIGNA	TURE OF APPLIC	ANT, ATTORNE	Y, OR A	GENT	
Firm Name MORRISON & FOI					
Signature Culture					
Printed name Adam Keser					
Date July 11, 2007		Reg	g. No.	54,217	

Docket No.: 229752003700

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Stuart M. PITSON et al.

Application No.: 10/509,036

Filed: September 27, 2004

For: METHOD OF MODULATING CELLULAR

**ACTIVITY** 

Confirmation No.: N/A

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

# RESPONSE TO DECISION FOR PETITION FOR REVIVAL OF AN APPLICATION ABANDONED UNINTENTIONALLY

MS Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Decision dated May 11, 2007, Applicants resubmit the Petition for revival and related papers for your approval. Applicants have attached an acceptable Declaration and a computer readable form of the Sequence Listing in the required text.

Dated: July 11, 2007

Respectfully submitted,

By Mu Z

Registration No.: 54,217 MORRISON & FOERSTER LLP 1650 Tysons Blvd, Suite 400 McLean, Virginia 22102

(703) 760-7301

PTO/SB/17 (02-07)
Approved for use through 02/28/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

5,155, 110, 0	Perwork Reduction Act of		equired to	coporta to a collecti		lete if Know		John of Humber.
Fees pursuant to ti	Effective on 12/08/2 he Consolidated Appropi		.R. 4818).	Application Nu	1 4	10/509,036		
FFF	TRANSI	MITTAL	•	Filing Date		September 27, 2004		
			•	First Named In		Stuart M. PITSON		
	For FY 20	107		Examiner Name		ot Yet Assign	ed	
Applicant	claims small entity state	ıs. See 37 CFR 1.2	27	Art Unit	N	ot Yet Assign	ed	
TOTAL AMOUN	NT OF PAYMENT	(\$) 1,500.	00	Attorney Docke	t No. 2:	29752003700	)	
METHOD OF	PAYMENT (check	all that annly)						
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For the a	above-identified depo	sit account, the [	Director is	s hereby authoriz	ed to: (check	all that apply)		
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X Ch	narge any additional f e(s) under 37 CFR 1	ee(s) or underpa	yments o	<i>,</i> =	t any overpay		•	
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If the specifica	tion and drawings ex	ceed 100 sheets	of paper	(excluding elect	ronically file	d sequence or	computer	
sheets or fra	er 37 CFR 1.52(e)), action thereof. See 3	the application si	ize tee di )(G) and	ie is \$250 (\$125 37 CER 1 16(c)	for small ent	tity) for each ac	dditional 50	1
Total Sheets				additional 50 or fra		Fee (\$)	Eoo B	aid (\$)
	- 100 =			(round up to a wh			= 1001	aiu (v)
4. OTHER FEE(	S)				,		Fees	Paid (\$)
Non-English	Specification, \$130	) fee (no small e	ntity disc	ount)				
Other (e.g., l	Other (e.g., late filing surcharge): 1453 Petition to revive unintentionally abandoned 1,500.00							
SUBMITTED BY								
Signature	and ?			Registration No. (Attorney/Agent)	54,217	Telephone	(703) 760	)-7301
Name (Print/Type)	Adam Keser			( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( (		Date	July 11,	
							July 11,	2001

## **SCORE**

# **CRF Problem Report**

SCORE experienced a problem when processing the following computer readable form (CRF):

(Cita).							
Application Serial Number: 10/509.030 Filing Date: 9/11/2007 Date Processed by SCORE: 8/16/2007							
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Nature of CRF Problem:							
(circle one) Damaged or Unreadable Blank (no files on CRF) Empty file (filename present, but no bytes in file) Wrong file saved to CRF (invention title, docket number, or applicant(s) do not match those in official application) Not saved in ASCII text • DoC Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should only be the Sequence Listing. Did not contain a Sequence Listing. Other:							

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http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
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   Alexandria, VA 22314

Revised 01/20/06





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Adam Keser MORRISON & FOERSTER, LLP 1650 Tysons Boulevard Suite 400 McLean VA 22102

In re Application of:

PITSON, Stuart.

Application No.: 10/509,036

PCT Application No.: PCT/AU2003/00388

Int. Filing Date: 28 March 2003

Priority Date: 28 March 2002

Atty Docket No.: 229752003700

For: A METHOD OF MODULATING CELLULAR

ACTIVITY

DECISION

**UNDER** 

37 CFR 1.137(b)

This decision is in response to applicants' "PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 C.F.R 1.137(b) "filed 16 April 2007, which has been treated as a petition under 37 C.F.R. 1.137(b).

### **BACKGROUND**

On 28 March 2003, applicants filed international application PCT/AU2003/00388, which designated the U.S. and claimed priority date of 28 March 2002. The thirty-month period for paying the basic national fee in the United States expired at midnight on 28 September 2004.

On 27 September 2004, applicants filed national papers in the United States Designated/Elected Office (DO/EO/US). The submission was accompanied by, *inter alia*, the basis national fee.

On 08 March 2005, the DO/EO/US mailed a Notification of Missing Requirements Under 35 U.S.C. 371 (Form PCT/DO/EO/905), which indicated that a oath or declaration in compliance with 37 CFR 1.497 and a copy of the "Sequence Listing" in computer readable form required by 37 CFR 1.821(e) must be filed.

On 17 July 2006, international application PCT/AU2003/00388 became abandoned as to the United States for failure to timely respond to the Notification of Missing Requirements.

On 16 April 2007, applicants filed, *inter alia*, a declaration, a copy of the "Sequence Listing" in computer readable form, and a petition under 37 CFR 1.137(b).

#### **DISCUSSION**

A petition under 37 CFR 1.137(b) requesting that the application be revived on the grounds of unintentional abandonment must be accompanied by (1) the required reply, (2) the petition fee required by law, (3) a statement that the, "entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional" and (4) any terminal disclaimer and fee pursuant to 37 CFR 1.137(c) (where required).

Regarding items (2), (3) and (4), the applicant has provided the petition fee and the proper statement. In this application, no terminal disclaimer is required.

Regarding item (1), applicant has provided a declaration that appears to be a composite declaration created from the combination of separately executed declarations. It appears that either the attorney pieced together separate complete declarations into one composite declaration or that the inventors were presented with an incomplete declaration. While it is acceptable for applicants to execute separate copies of the declaration, the entire declaration, as executed by the inventor, must be submitted. "Where individual declarations are executed, they must be submitted as individual declaration rather than combined into one declaration." See MPEP 201.03. What is required is one declaration where all inventors have signed or separate complete declarations. The requirements of 37 CFR 1.497 (a) and (b) have not been met and the declaration is unacceptable as filed. Further, the applicant has provided a computer readable form (CFR) of the "Sequence Listing" that is not saved in the required ASCII text. Accordingly, applicant has not submitted the required reply in response to the Notification of Missing Requirements.

### **CONCLUSION**

For the reasons noted above, petition to revive under 37 CFR 1.137(b) is **DISMISSED** without prejudice.

If reconsideration on the merits of this action is desired, a proper response must be filed within TWO (2) MONTHS from the mail date of this decision.

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

Bena Miller

Detailee PCT Legal Examiner
Office of PCT Legal Administration

**Boris Miles** 

**PCT Legal Examiner** 

Office of PCT Legal Administration

**Enclosure: CFR Problem Report** 

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE

UNITED STATES DEFARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Ynginia 22313-1450 www.mplo.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/509,036

Stuart M Pitson

**1**229752003700

INTERNATIONAL APPLICATION NO. PCT/AU03/00388

I.A. FILING DATE

PRIORITY DATE

03/28/2003

03/28/2002

**CONFIRMATION NO. 1337** 371 FORMALITIES LETTER

\*OC000000015374062\*

25227 MORRISON & FOERSTER LLP 1650 TYSONS BOULEVARD SUITE 300 **MCLEAN, VA 22102** 

Date Mailed: 03/08/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- .. Copy of the International Application filed on 09/27/2004
  - Copy of the International Search Report filed on 09/27/2004
  - Copy of IPE Report filed on 09/27/2004
  - Biochemical Sequence Listing filed on 09/27/2004
  - Request for Immediate Examination filed on 09/27/2004
  - U.S. Basic National Fees filed on 09/27/2004
  - Priority Documents filed on 09/27/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/509,036	PCT/AU03/00388	229752003700

FORM PCT/DO/EO/905 (371 Formalities Notice)

PATENT Docket No.22975-20037.00

### DECLARATION FOR UTILITY PATENT APPLICATION

### AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: A METHOD OF MODULATING CELLULAR ACTIVITY, the specification of which is attached hereto unless the following box is checked:

[X] was filed on 28 March 2003 as United States Application Serial No. and as PCT International Application No. PCT/AU03/00388

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day/month/year)	Priority Claimed?
PS1448/02	Australia	28 March 2002	yes
PS1538/02	Australia	5 April 2002	yes
P\$1621/02	Australia	8 April 2002	yes
2002951668	Australia	19 September 2002	yes
2003900230	Australia	21 January 2003	yes

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

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Application Serial No.	Filing Date
*	

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Senal No.	Filing Date	Status		
PCT/AU03/00388	28 March 2003	□Patented	□Pending	□Abandoned

We hereby appoint the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Lisa A. Amii (Reg No. 48,199) Mehran Arjomand (Reg No. 48,231) Sanjay S. Bagade (Reg No. 42,280) Shantanu Basu (Reg No. 43,318) Vincent J. Belusko (Reg No. 30,820) Kimberly A. Bolin (Reg No. 44,546) Tyler S. Brown (Reg No. 36,465) A. Randall Camacho (Reg No. 46,595) Robert K. Cerpa (Reg No. 39,933) Alex Chartove (Reg No. 31,942) Thomas E. Ciotti (Reg No. 21,013) Matthew M. D'Amore (Reg No. 42,457) Peter Davis (Reg No. 36,119) Karen B. Dow (Reg No. 29,684) Carolyn A. Favorito (Reg No. 39,183) Hector Gallegos (Reg No. 40,614) Deborah S. Gladstein (Reg No. 43,636) Kenneth R. Glick (Reg No. 28,612) Johney U. Han (Reg No. 45,565) Alan S. Hodes (Reg No. 38,185) Kelvan P. Howard (Reg No. P48,999) Jill A. Jacobson (Reg No. 40,030) Madeline I. Johnston (Reg No. 36,174) Ararat Kapouytian (Reg No. 40,044) Cameron A. King (Reg No. 41,897) Kawai Lau (Reg No. 44,461) Rimas T. Lukas (Reg No. 46,451) Gladys H. Monroy (Reg No. 32,430) Kate H. Murashige (Reg No. 29,959)

Randolph Ted Apple (Reg No. 36,429) Laurie A. Axford (Reg No. 35,053) Erwin J. Basinski (Reg No. 34,773) Richard R. Batt (Reg No. 43,485) Jonathan Bockman (Reg No. 45,640) Barry E. Bretschneider (Reg No. 28,055) Nicholas Buffinger (Reg No. 39,124) Mark R. Carter (Reg No. 39,131) Peng Chen (Reg No. 43,543) Thomas Chuang (Reg No. 44,616) Cara M. Coburn (Reg No. 46,631) Raj S. Davé (Reg No. 42,465) David Devernoe (Reg No. 50,128) Stephen C. Durant (Reg No. 31,506) David L. Fehrman (Reg No. 28,600) Thomas George (Reg No. 45,740) Debra J. Glaister (Reg No. 33,888) Bruce D. Grant (Reg No. 47,608) Douglas G. Hodder (Reg No. 41,840) Charles D. Holland (Reg No. 35,196) Peter Hsieh (Reg No. 44,780) Wayne Jaeschke, Jr. (Reg No. 38,503) Parisa Jorjani (Reg No. 46,813) Richard C. Kim (Reg No. 40,046) Lawrence B. Kong (Reg No. P49,043) Glenn Kubota (Reg No. 44,197 Michael J. Mauriel (Reg No. 44,226) Philip A. Morin (Reg No. P-45,926) Mabel Ng (Reg No. P48,922)

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Please direct all communications to:

1650 Tysons Boulevard, Suite 300 McLean, Virginia 22102

Please direct all telephone calls to Barry E. Bretschneider at (703) 760-7743

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: Stuart M. PITSON

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Citizenship;

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Name:

Residence:

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Australia

Citizenship: Australia Post Office Address: "same as above

Date Name: Julia R. VERWEY Residence: 27 Highfield Drive, Hillbank, South Australia 5112, Australia Citizenship: Australia Post Office Address: "same as above" Name: Mathew A. VADAS Residence: 8 Branch Road, Stirling, South Australia 5152, Australia Citizenship: Australia Post Office Address: × Name: Date Brian W. WATTENBERG Residence: Brown Cancer Centre, 529 S. Jackson Street, Louisville, Kentucky 40202, United States Citizenship: Australia Post Office Address: Name: Date Residence: Citizenship:

Post Office Address:

TETER PAG ALLYO CAROLE CERES

PATENT Docket No.22975-20037.00

# DECLARATION FOR UTILITY PATENT APPLICATION

# AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

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(X) was filed on 28 March 2003 as United States Application Serial No. and as PCT International Application No. PCT/AU03/00388

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We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

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PS1448/02	Australia	28 March 2002	med's media. den
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PS1621/02	Australia	8 April 2002	yes
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Martin M. Noonen (Reg No. 44,264) Phillip Reilly (Reg No. 41,415) Debra A. Shetka (Reg No. 33,309) Kevin R. Spivak (Reg No. 43,148) Thomas L. Troffen (Reg No. P48,279) Michael R. Ward (Reg No. 38,651) Todd W. Wight (Reg No. 45,218) Frank Wu (Rog No. 41,386) Peter J. Yim (Reg No. 44,417) Karen R. Zachow (Rog No. 46,332)

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Name:

MOETIY . M nause

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Rouidence:

97 Shakespeare Avenue, Magill, South Australia 3072, Australia

Citizenship:

Australia

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Residence:

Paul A. MORBITI

3 Railway Terrace South, Goodwood, South Australia 5034,

Autostia Cipiconship:

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Post Office Address: "sume as above

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Docket No. 22975-20037.00 Application No.

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121.12.04

Name:

VERWEY 280L TO 21.12.04

Residence:

Citizenship:

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Australia 16/16 Ruckky Rd, South Yawa, Victoria, 8141.

Post Office Address: "same sa above"

& 21 17 OHALLS WORLD

Name:

Mathew A. VADAS

Rosidence: Citizonahip: 8 Branch Road, Stirling, South Australia \$152, Australia

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Name:

Brian W. WATTENBERG

Residence:

Brown Cancer Contro, 529 S. Jackson Street, Loudeville,

Kentucky 40202, United States Citizenship: Australia

Post Office Address:

Date

Namo:

Residence:

Citizenship:

Post Office Address:

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PATENT Docket No.22975-20037.00

#### DECLARATION FOR UT LITY PATENT APPLICATION

#### AS BELOW-NAMED INVENTORS WE HEREBY DECLARE THAT:

Our residences, post office addresses und citizenship are as stated below next to our names.

unless the following box is checked:

We believe we are the original, first t d joint inventors of the subject matter which is claimed and for which a patent is sought on! a invention entitled; A METHOD OF MODULATING CELLULAR ACTIVITY the specification of which is attached hereto

[X]

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2003900230	Australia	21 January 2003	yes

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Wipplication Meria No.	Filing Dan	
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United States or PCT International application 35 U.S.C. § 112, we acknowledge the cuty to patentability as defined in 37 C.F.R., § 1.56 v the prior application and the national or PCT

We hereby claim the benefit under 3' J.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International applies on designating the United States, listed below and, insofar as the subject matter of each of the cl ms of this application is not disclosed in the prior in the manner provided by the first paragraph of disclose information which is material to ich became avallable between the filing date of nternational filing date of this application.

Application Serial No	Filing Day
PCT/AUG3/00388	28 March

Status □ Patented ☐ Pending **DAbandoned** 

transact all business in the Patent and Traden ak Office connected therewith:

We hereby appoint the following atto teys and agents to prosecute this application and to

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We hereby declare that all statements nade herein of our own knowledge are true and and that such willful false succeents may jet surdize the validity of the application or any patent issued thereon.

that all statements made on information and! slief are believed to be true; and further that these statements were made with the knowledge the willful false statements and the like so made are punishable by fine or imprisonment, or both, ander § 1001 of Title 18 of the United States Code

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